Docket No. 290398US2PCT

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Makoto SAITO, et al.

SERIAL NO: 10/578,177 GAU: 2131

FILED: **EXAMINER:** December 15, 2006

FOR: METHOD, APPARATUS AND PROGRAM FOR ESTABLISHING ENCRYPTED COMMUNICATION

CHANNEL BETWEEN APPARATUSES

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

## REFERENCES

- The applicant(s) wish to make of record the reference(s) cited in the attached Japanese Office Action (with English translation) and listed on the attached form PTO-1449. Copies of the listed reference(s) are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language reference(s).
- Online credit card payment is being made in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- Online credit card payment is being made in the amount required under 37 CFR §1.17(p).

### **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

# **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

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| Form PTO 1449 U.S. DEPARTMEN' (Modified) PATENT AND TRA  |  |                    | ATTY DOCKET NO.<br>290398US2PCT |                               | SERIAL NO.<br>10/578,177                |                                      |  |   |
|--|--|--------------------|---------------------------------|-------------------------------|---|--------------------------------------|--|---|
|  |  |                    |                                 | APPLICANT                     |   |                                      |  |   |
| LIST OF  | REFE   | RENCES CITED BY AF | PPLICANT                        | Makoto SAITO, et al.          |   |                                      |  |   |
|  |  |                    |                                 | FILING DATE                   |   | GROUP                                |  |   |
|  |  |                    |                                 | December 15, 2006             |   | 2131                                 |  |   |
|  |  |                    |                                 | U.S. PATENT DOCUMENTS         |   |                                      |  |   |
| EXAMINER<br>INITIAL  |  | DOCUMENT<br>NUMBER | DATE                            | NAME                          | CLASS                                   | SUB FILING DATE CLASS IF APPROPRIATE |  |   |
|  | AA   |                    |                                 |                               |   |                                      |  |   |
|  | AB   |                    |                                 |                               |   |                                      |  |   |
|  | AC   |                    |                                 |                               |   |                                      |  | • |
|  | AD   |                    |                                 |                               |   |                                      |  |   |
|  | AE   |                    |                                 |                               |   |                                      |  |   |
|  | AF   |                    |                                 |                               |   |                                      |  |   |
|  | AG   |                    |                                 |                               |   |                                      |  |   |
|  | АН   |                    |                                 |                               |   |                                      |  |   |
|  | ΑI   |                    |                                 |                               |   |                                      |  |   |
|  | AJ   |                    |                                 |                               |   |                                      |  |   |
|  | AK   |                    |                                 |                               |   |                                      |  |   |
|  | AL   |                    |                                 |                               |   |                                      |  |   |
|  | AM   |                    |                                 |                               |   |                                      |  |   |
|  | AN   |                    |                                 |                               | <u> </u>                                |                                      |  |   |
|  |  |                    | FO                              | REIGN PATENT DOCUMENTS        |   |                                      |  |   |
|  |  | DOCUMENT<br>NUMBER | DATE                            | COUNTRY                       |   | TRANSLATION<br>YES NO                |  |   |
|  | AO   | 2000-244655        | 09/08/2000                      | Japan (with English abstract) | •                                       |                                      |  | х |
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|  | AS   |                    |                                 |                               |   |                                      |  |   |
|  | AT   |                    |                                 |                               |   |                                      |  |   |
|  | ΑU   |                    |                                 |                               |   |                                      |  |   |
|  | ΑV   |                    |                                 |                               |   |                                      |  |   |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  |  |                    |                                 |                               |   |                                      |  |   |
|  | Kumiko ONO, et al., "End-to-End Secure Communication with SIP Signaling", THE INSTITUTE OF ELECTRONICS, INFORMATION AND COMMUNICATION ENGINEERS, Vol. 102, No. 693, March 6, 2003, 12 pages (with English translation of selected chapters). |                    |                                 |                               |   |                                      |  |   |
|  | AX   |                    | • ,                             |                               |   |                                      |  |   |
|  | AY   |                    |                                 |                               |   |                                      |  |   |
|  | AZ   |                    | -                               |                               | Additional References sheet(s) attached |                                      |  |   |
| Examiner   |  |                    |                                 |                               | Date Cor                                | onsidered                            |  |   |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |  |                    |                                 |                               |   |                                      |  |   |